Attorney's Docket No. MAR37 P-314Applicant or Patentee University of SouthamptonSerial or Patent No. 0/ 09/581,397Filed or Issued: June 13, 2000For: Neuroprotective agents

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37CFR 1.9(f) and 1.27(d)) — NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION University of SouthamptonADDRESS OF ORGANIZATION Highfield, Southampton, Hampshire SO17 1BJ,  
United Kingdom

## TYPE OF ORGANIZATION

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501 (a) and 501 (c) (3))
- ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)
- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501 (a) and 501 (c) (3)) IF LOCATED IN THE UNITED STATES OF AMERICA
- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled

Neuroprotective agentsby inventor(s) (1)Sundstrom, Lars Eric (2)Iannotti, Fausto (3)Bradley, Mark(4) Pringle, Ashley Ker

described in

- ☐ the specification filed herewith
- ☒ application serial no. 09/581,397, filed June 13, 2000
- ☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention availing to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL      ☐ SMALL BUSINESS CONCERN      ☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL      ☐ SMALL BUSINESS CONCERN      ☐ NONPROFIT ORGANIZATION

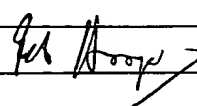
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

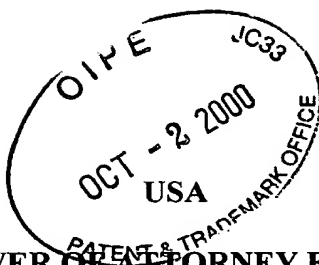
NAME OF PERSON SIGNING X DR PETER HOOPER

TITLE IN ORGANIZATION X DIRECTOR, OFFICE OF INNOVATION & RESEARCH SUPPORT

ADDRESS OF PERSON SIGNING X UNIVERSITY OF SOUTHAMPTON, HIGHFIELD,  
SOUTHAMPTON, UK, SO17 1BT

SIGNATURE X  DATE X 4 AUGUST 2000

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## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Neuroprotective agents

which is described and claimed in:

☐ the attached specification

☒ the specification in application Serial No. 09/581,397

filed June 13, 2000

☒ PCT International Application No. PCT/GB98/03775

filed December 16, 1998

(if applicable) and amended on \_\_\_\_\_

under Article 19 PCT

and on \_\_\_\_\_

under Article 34 PCT

I hereby state that I have reviewed and understand the contents of the above-identified application specification, including the claims, as amended by any amendment specifically referred to herein.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Number	Country	Date Filed	Priority Claimed
<u>9726569.8</u>	<u>United Kingdom</u>	<u>16 December 1997</u>	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no
_____	_____	_____	<input type="checkbox"/> yes <input type="checkbox"/> no
_____	_____	_____	<input type="checkbox"/> yes <input type="checkbox"/> no
_____	_____	_____	<input type="checkbox"/> yes <input type="checkbox"/> no

I hereby claim the benefit under Title 35, United States Code §1.20, of the United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56, and which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
_____	_____	_____
_____	_____	_____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

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I hereby appoint Price, Heneveld, Cooper, DeWitt & Litton, 695 Kenmoor Drive, S.E., P.O. Box 2567, Grand Rapids, Michigan 49501, and the individual attorneys and agents at such address, Lloyd A. Heneveld, No. 17 802; Richard C. Cooper, No. 19 164; William W. DeWitt, No. 22 300; Randall G. Litton, No. 24 013; James A. Mitchell, No. 25 120; 046; Harold W. Reick, No. 25 438; Robert J. Carrier, No. 24 219; Carl S. Clark, No. 28 288; Daniel L. Gridwood, No. 34 827; Barry C. Kane, No. 32 036; Terry S. Callaghan, No. 34 559; Gunther J. Evanina, No. 35 502; and Steven C. Wichmann, No. 37 758; jointly, and each of them severally, my attorneys and attorney, with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith. Please direct all correspondence and telephone calls to:

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Signature of first inventor: x [Signature] Date: x 16/8/2000  
 Signature of second inventor: x [Signature] Date: v 16/8/2000  
 Signature of third inventor: x [Signature] Date: x 16/8/2000  
 Signature of fourth inventor: x [Signature] Date: v 16/8/2000

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